# Approches Lagrangiennes pour la modélisation et l'optimisation du couplage hydrodynamique-photosynthèse

Liu-Di LU

Wednesday, September 29, 2021







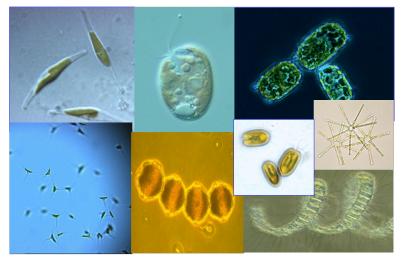


#### Overview

- Introduction
- 2 Depth and Biomass Concentration
- Topography
- 4 Mixing
- 5 Depth, Biomass Concentration, Topography and Mixing
- 6 Conclusion and Perspectives

Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

- Microalgae:
  - photosynthetic micro-organisms,
  - 2 to 50 micro-meters,



- Microalgae:
  - photosynthetic micro-organisms,
  - 2 to 50 micro-meters,
  - aquatic environment: river, lake, ocean, etc,



- Microalgae:
  - photosynthetic micro-organisms,
  - 2 to 50 micro-meters.
  - aquatic environment: river, lake, ocean, etc,
  - CO<sub>2</sub> fixation.

- Microalgae:
  - photosynthetic micro-organisms,
  - 2 to 50 micro-meters.
  - aquatic environment: river, lake, ocean, etc,
  - CO<sub>2</sub> fixation.
- Advantages:
  - wastewater treatment, biofuel,
  - various secondary metabolites.

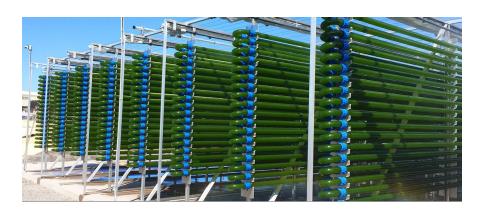


- Microalgae:
  - photosynthetic micro-organisms,
  - 2 to 50 micro-meters,
  - aquatic environment: river, lake, ocean, etc,
  - CO<sub>2</sub> fixation.
- Advantages:
  - wastewater treatment, biofuel,
  - various secondary metabolites with high potential on commercial applications, e.g., cosmetics, pharmaceuticals, food complements.
- Photobioreactors.











- Microalgae:
  - photosynthetic micro-organisms,
  - 2 to 50 micro-meters,
  - aquatic environment: river, lake, ocean, etc,
  - CO<sub>2</sub> fixation.
- Advantages:
  - wastewater treatment, biofuel,
  - various secondary metabolites with high potential on commercial applications, e.g., cosmetics, pharmaceuticals, food complements.
- Photobioreactors: Raceway ponds.

- Microalgae:
  - photosynthetic micro-organisms,
  - 2 to 50 micro-meters,
  - aquatic environment: river, lake, ocean, etc,
  - CO<sub>2</sub> fixation.
- Advantages:
  - wastewater treatment, biofuel,
  - various secondary metabolites with high potential on commercial applications, e.g., cosmetics, pharmaceuticals, food complements.
- Photobioreactors: Raceway ponds.
- Impact factor: Light, Temperature, pH, Nutrients, etc.

- Microalgae:
  - photosynthetic micro-organisms,
  - 2 to 50 micro-meters,
  - aquatic environment: river, lake, ocean, etc,
  - CO<sub>2</sub> fixation.
- Advantages:
  - wastewater treatment, biofuel,
  - various secondary metabolites with high potential on commercial applications, e.g., cosmetics, pharmaceuticals, food complements.
- Photobioreactors: Raceway ponds.
- Impact factor: Light, Temperature, pH, Nutrients, etc.

Photoinhibition: Strong light induces damage to the photosystem.

Photoinhibition: Strong light induces damage to the photosystem.

• Eilers & Peeters (Eilers and Peeters 1993)

Photoinhibition: Strong light induces damage to the photosystem.

- Eilers & Peeters (Eilers and Peeters 1993)
- Han model (*Han* 2002)
  - widely used
  - relatively simple dynamics
  - validated parameters

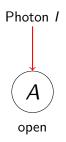
Photoinhibition: Strong light induces damage to the photosystem.

- Eilers & Peeters (Eilers and Peeters 1993)
- Han model (*Han* 2002)
  - widely used
  - relatively simple dynamics
  - validated parameters
- Variants of Han model (e.g. *Nikolaou et al.* 2016, *Bernardini et al.* 2016)

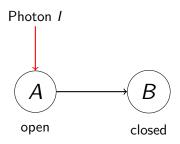
Photoinhibition: Strong light induces damage to the photosystem.

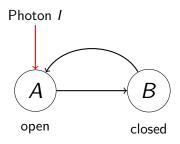
- Eilers & Peeters (Eilers and Peeters 1993)
- Han model (Han 2002)
  - widely used,
  - relatively simple dynamics,
  - validated parameters.
- Variants of Han model (e.g. *Nikolaou et al.* 2016, *Bernardini et al.* 2016)

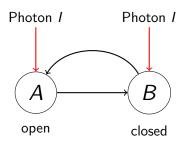


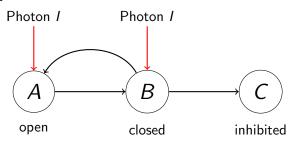


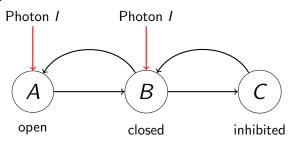
• The Han dynamics:











• The Han system:

$$\begin{cases} \dot{A} = -\sigma IA + \frac{B}{\tau} \\ \dot{B} = \sigma IA - \frac{B}{\tau} + k_r C - k_d \sigma IB \\ \dot{C} = -k_r C + k_d \sigma IB \end{cases}$$

• The Han system:

$$\begin{cases} \dot{A} = -\sigma IA + \frac{B}{\tau} \\ \dot{B} = \sigma IA - \frac{B}{\tau} + k_r C - k_d \sigma IB \\ \dot{C} = -k_r C + k_d \sigma IB \end{cases} \implies \begin{cases} \dot{A} = \frac{1}{\epsilon} f(A, C) \\ \dot{C} = g(A, C) \end{cases}$$

• The Han system:

$$\begin{cases} \dot{A} = -\sigma IA + \frac{B}{\tau} \\ \dot{B} = \sigma IA - \frac{B}{\tau} + k_r C - k_d \sigma IB \\ \dot{C} = -k_r C + k_d \sigma IB \end{cases} \implies \begin{cases} \dot{A} = \frac{1}{\epsilon} f(A, C) \\ \dot{C} = g(A, C) \end{cases}$$

• Fast/slow approximation:  $\dot{C} = -\alpha(I)C + \beta(I)$ .

• The Han system:

$$\left\{ \begin{array}{l} \dot{A} = -\sigma IA + \frac{B}{\tau} \\ \dot{B} = \sigma IA - \frac{B}{\tau} + k_r C - k_d \sigma IB \\ \dot{C} = -k_r C + k_d \sigma IB \end{array} \right. \Longrightarrow \left\{ \begin{array}{l} \dot{A} = \frac{1}{\epsilon} f(A,C) \\ \dot{C} = g(A,C) \end{array} \right.$$

- Fast/slow approximation:  $\dot{C} = -\alpha(I)C + \beta(I)$ .
- The growth rate:

$$\mu(C,I) := k\sigma I \frac{(1-C)}{\tau\sigma I + 1}$$

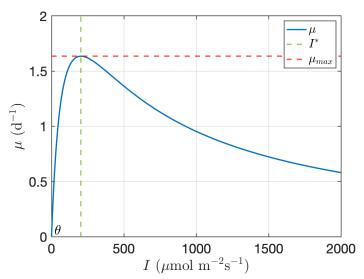
• The Han system:

$$\left\{ \begin{array}{l} \dot{A} = -\sigma IA + \frac{B}{\tau} \\ \dot{B} = \sigma IA - \frac{B}{\tau} + k_r C - k_d \sigma IB \\ \dot{C} = -k_r C + k_d \sigma IB \end{array} \right. \Longrightarrow \left\{ \begin{array}{l} \dot{A} = \frac{1}{\epsilon} f(A,C) \\ \dot{C} = g(A,C) \end{array} \right.$$

- Fast/slow approximation:  $\dot{C} = -\alpha(I)C + \beta(I)$ .
- The growth rate:

$$\mu(\mathit{C},\mathit{I}) := k\sigma \mathit{I} \frac{(1-\mathit{C})}{\tau\sigma\mathit{I}+1}$$
 
$$\downarrow \qquad \qquad \text{steady state}$$
 
$$\mu(\mathit{I}) = \mu_{\max} \frac{\mathit{I}}{\mathit{I} + \frac{\mu_{\max}}{\theta} (\frac{\mathit{I}}{\mathit{I}^*} - 1)^2} \text{ (Haldane)}$$

#### Haldane description



• The Han system:

$$\begin{cases} \dot{A} = -\sigma IA + \frac{B}{\tau} \\ \dot{B} = \sigma IA - \frac{B}{\tau} + k_r C - k_d \sigma IB \\ \dot{C} = -k_r C + k_d \sigma IB \end{cases} \implies \begin{cases} \dot{A} = \frac{1}{\epsilon} f(A, C) \\ \dot{C} = g(A, C) \end{cases}$$

- Fast/slow approximation:  $\dot{C} = -\alpha(I)C + \beta(I)$ .
- The growth rate:

$$\mu(\mathit{C},\mathit{I}) := k\sigma \mathit{I} \frac{(1-\mathit{C})}{\tau\sigma\mathit{I}+1}$$
 
$$\downarrow \qquad \qquad \qquad \text{steady state}$$
 
$$\mu(\mathit{I}) = \mu_{\max} \frac{\mathit{I}}{\mathit{I} + \frac{\mu_{\max}}{\theta} (\frac{\mathit{I}}{\mathit{I}^*} - 1)^2} \text{ (Haldane model)}$$

• The Beer-Lambert law:  $I(z) = I_s \exp(-\varepsilon z)$ .

#### Raceway ponds:

- widely used and cheapest cultivation system,
- water tank and paddle wheel.



#### Raceway ponds:

- widely used and cheapest cultivation system,
- water tank and paddle wheel.

#### Parameters to be optimized:

• depth / biomass concentration,



#### Raceway ponds:

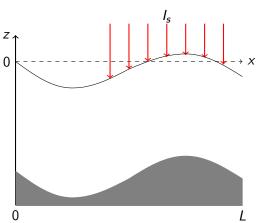
- widely used and cheapest cultivation system,
- water tank and paddle wheel.

#### Parameters to be optimized:

- depth / biomass concentration,
- topography,



#### 1D illustration





#### Raceway ponds:

- widely used and cheapest cultivation system,
- water tank and paddle wheel.

#### Parameters to be optimized:

- depth / biomass concentration,
- topography,



#### Raceway ponds:

- widely used and cheapest cultivation system,
- water tank and paddle wheel.

#### Parameters to be optimized:

- depth / biomass concentration,
- topography,
- mixing.



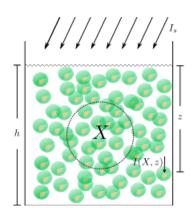
### Overview

- Introduction
- 2 Depth and Biomass Concentration
- Topography
- 4 Mixing
- Depth, Biomass Concentration, Topography and Mixing
- 6 Conclusion and Perspectives

Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

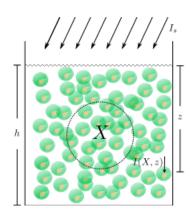
#### Masci et al. 2010:

• Growth  $\mu$ : Droop function.



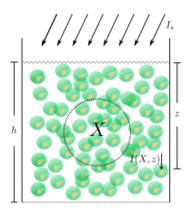
#### Masci et al. 2010:

- Growth  $\mu$ : Droop function.
- Extinction  $\varepsilon$ : linear function  $\varepsilon(X) = \alpha_0 X$ .



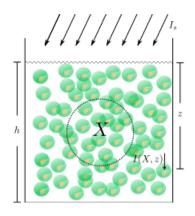
#### Masci et al. 2010:

- Growth  $\mu$ : Droop function.
- Extinction  $\varepsilon$ : linear function  $\varepsilon(X) = \alpha_0 X$ .
- Productivity: surface biomass productivity  $\Pi := (\bar{\mu} R)Xh$ .



#### Masci et al. 2010:

- Growth  $\mu$ : Droop function.
- Extinction  $\varepsilon$ : linear function  $\varepsilon(X) = \alpha_0 X$ .
- Productivity: surface biomass productivity  $\Pi := (\bar{\mu} R)Xh$ .
- Optimal condition:  $\mu(I(h_{\text{opt}})) = R$  (compensation condition).

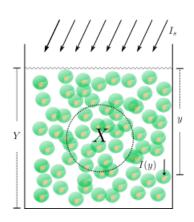


• Growth  $\mu$ : Haldane description  $\mu(I) = \mu_{\max} \frac{I}{I + \frac{\mu_{\max}}{I} (\frac{I}{I^*} - 1)^2}$ .

- Growth  $\mu$ : Haldane description  $\mu(I) = \mu_{\max} \frac{I}{I + \frac{\mu_{\max}}{I} (\frac{I}{I^*} 1)^2}$ .
- Extinction  $\varepsilon$ : general form  $\varepsilon(X)$ .

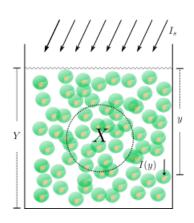
- Growth  $\mu$ : Haldane description  $\mu(I) = \mu_{\max} \frac{I}{I + \frac{\mu_{\max}}{I} (\frac{I}{I^*} 1)^2}$ .
- Extinction  $\varepsilon$ : general form  $\varepsilon(X)$ .
- New concept: optical depth productivity  $P := (\bar{\mu} R)Y$  with the optical depth  $Y := \varepsilon(X)h$ .

- Growth  $\mu$ : Haldane description.
- Extinction  $\varepsilon$ : general form  $\varepsilon(X)$ .
- Productivity: *optical depth productivity*  $P := (\bar{\mu} R)Y$ .

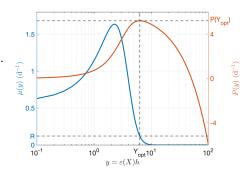


- Growth  $\mu$ : Haldane description.
- Extinction  $\varepsilon$ : general form  $\varepsilon(X)$ .
- Productivity: optical depth productivity  $P := (\bar{\mu} - R)Y$ .
- Optimal condition:

$$\mu(I(Y_{\text{opt}})) = R.$$



- Growth  $\mu$ : Haldane description.
- Extinction  $\varepsilon$ : general form  $\varepsilon(X)$ .
- Productivity: optical depth productivity  $P := (\bar{\mu} R)Y$ .
- Optimal condition:  $\mu(I(Y_{opt})) = R$ .



• Surface biomass productivity  $\Pi := (\bar{\mu} - R)Xh = \frac{X}{\varepsilon(X)}P$ .

• Surface biomass productivity  $\Pi := (\bar{\mu} - R)Xh = \frac{X}{\varepsilon(X)}P$ .

### Corollary

For a given biomass concentration X, there exists a unique reactor depth  $h_1$  which satisfies  $\varepsilon(X)h_1=Y_{opt}$  and maximizes the productivity  $\Pi(X,\cdot)$ .

• Surface biomass productivity  $\Pi := (\bar{\mu} - R)Xh = \frac{X}{\varepsilon(X)}P$ .

### Corollary

For a given biomass concentration X, there exists a unique reactor depth  $h_1$  which satisfies  $\varepsilon(X)h_1 = Y_{opt}$  and maximizes the productivity  $\Pi(X, \cdot)$ .

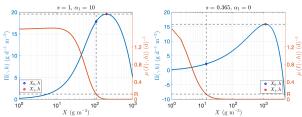
• The extinction function  $\varepsilon(X) := \alpha_0 X^s + \alpha_1$  (Morel 1988, Martínez 2018).

• Surface biomass productivity  $\Pi := (\bar{\mu} - R)Xh = \frac{X}{\varepsilon(X)}P$ .

### Corollary

For a given biomass concentration X, there exists a unique reactor depth  $h_1$  which satisfies  $\varepsilon(X)h_1=Y_{opt}$  and maximizes the productivity  $\Pi(X,\cdot)$ .

- The extinction function  $\varepsilon(X) := \alpha_0 X^s + \alpha_1$  (Morel 1988, Martínez 2018).
- For a given depth h,  $Y_{opt}$  is generally NOT the optimal condition.



#### Theorem

In general case, there is no global optimum for  $\Pi$ .

#### Theorem

In general case, there is no global optimum for  $\Pi$ .

Given  $X_0$  and consider the sequence  $(X_n,h_n)_{n\in\mathbb{N}}$  defined by

$$h_n = \frac{Y_{ ext{opt}}}{\varepsilon(X_{n-1})}, \quad X_n := \operatorname{argmax}_{X \in \mathbb{R}_+} \Pi(X, h_n).$$

#### Theorem

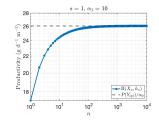
In general case, there is no global optimum for  $\Pi$ .

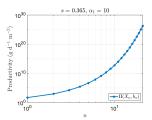
Given  $X_0$  and consider the sequence  $(X_n, h_n)_{n \in \mathbb{N}}$  defined by

$$h_n = rac{Y_{ ext{opt}}}{arepsilon(X_{n-1})}, \quad X_n := rgmax_{X \in \mathbb{R}_+} \Pi(X, h_n).$$

#### Theorem

If 
$$s=1$$
,  $\lim_{n\to\infty}\Pi(X_n,h_n)=\frac{P(Y_{opt})}{\alpha_0}$ . If  $s<1$ ,  $\lim_{n\to\infty}\Pi(X_n,h_n)=+\infty$ .





In real life application, h is given, one would like to find  $X_{opt}(h)$ .

In real life application, h is given, one would like to find  $X_{\rm opt}(h)$ . Evolution of the biomass concentration  $\dot{X} = (\bar{\mu} - R - D)X$ .

In real life application, h is given, one would like to find  $X_{\rm opt}(h)$ . Evolution of the biomass concentration  $\dot{X}=(\bar{\mu}-R-D)X$ .

#### Proposition

The control law

$$D = \begin{cases} D_{\text{max}} & X \ge \bar{X} \\ (\bar{\mu}(X, h) - R) \frac{X}{X^*} & X < \bar{X} \end{cases}$$

globally stabilizes the evolution of X towards the positive point  $X^*$ .

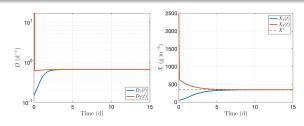
In real life application, h is given, one would like to find  $X_{\rm opt}(h)$ . Evolution of the biomass concentration  $\dot{X}=(\bar{\mu}-R-D)X$ .

### Proposition

The control law

$$D = \begin{cases} D_{\text{max}} & X \ge \bar{X} \\ (\bar{\mu}(X, h) - R) \frac{X}{X^*} & X < \bar{X} \end{cases}$$

globally stabilizes the evolution of X towards the positive point  $X^*$ .



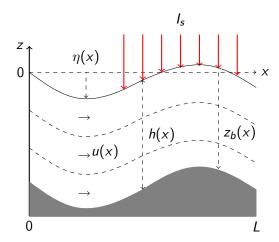
### Overview

- Introduction
- 2 Depth and Biomass Concentration
- Topography
- 4 Mixing
- 5 Depth, Biomass Concentration, Topography and Mixing
- 6 Conclusion and Perspectives

Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

## 1D Illustration





• 1D steady state Saint-Venant equations

$$\partial_x(hu)=0, \quad \partial_x(hu^2+g\frac{h^2}{2})=-gh\partial_x z_b.$$

• Relation between  $z_b$  and h

$$z_b = \frac{M_0}{g} - \frac{Q_0^2}{2gh^2} - h,\tag{1}$$

 $Q_0, M_0 \in \mathbb{R}^+$  are two constants.

Relation between z<sub>b</sub> and h

$$z_b = \frac{M_0}{g} - \frac{Q_0^2}{2gh^2} - h,\tag{1}$$

 $Q_0, M_0 \in \mathbb{R}^+$  are two constants.

• Froude number:

$$Fr := \frac{u}{\sqrt{gh}}$$

Fr < 1: subcritical case (i.e. the flow regime is fluvial)

 $\mathit{Fr} > 1$ : supercritical case (i.e. the flow regime is torrential)

Relation between z<sub>b</sub> and h

$$z_b = \frac{M_0}{g} - \frac{Q_0^2}{2gh^2} - h,\tag{1}$$

 $Q_0, M_0 \in \mathbb{R}^+$  are two constants.

• Froude number:

$$Fr := \frac{u}{\sqrt{gh}}$$

Fr < 1: subcritical case (i.e. the flow regime is fluvial) Fr > 1: supercritical case (i.e. the flow regime is torrential)

• Given a smooth topography  $z_b$ , there exists a unique positive smooth solution of h which satisfies the subcritical flow condition (*Michel-Dansac et al* 2016).

• Relation between  $z_b$  and h

$$z_b = \frac{M_0}{g} - \frac{Q_0^2}{2gh^2} - h,\tag{1}$$

 $Q_0, M_0 \in \mathbb{R}^+$  are two constants.

Froude number:

$$Fr := \frac{u}{\sqrt{gh}}$$

Fr < 1: subcritical case (i.e. the flow regime is fluvial)

Fr > 1: supercritical case (i.e. the flow regime is torrential)

- Given a smooth topography  $z_b$ , there exists a unique positive smooth solution of h which satisfies the subcritical flow condition (*Michel-Dansac et al* 2016).
- A **time free** formulation of the Lagrangian trajectory starting from z(0):

$$z(x) = \frac{\eta(x)}{h(0)} + \frac{h(x)}{h(0)} (z(0) - \eta(0)). \tag{2}$$

## Optimization Problem

• Our goal: Topography *z<sub>b</sub>*.

- Our goal: Topography z<sub>b</sub>.
- Objective function: Average net growth rate

$$ar{\mu}_{\infty} := rac{1}{V} \int_0^L \int_{z_b(x)}^{\eta(x)} \muig(C(x,z),I(x,z)ig) \mathrm{d}z \mathrm{d}x$$

- Our goal: Topography  $z_b$ .
- Objective function: Average net growth rate

- Our goal: Topography  $z_b$ .
- Objective function: Average net growth rate

• Volume of the system  $V = \int_0^L h(x) dx$ .

- Our goal: Topography  $z_b$ .
- Objective function: Average net growth rate

$$\begin{split} \bar{\mu}_{\infty} := \frac{1}{V} \int_{0}^{L} \int_{z_b(x)}^{\eta(x)} \mu \big( C(x,z), I(x,z) \big) \mathrm{d}z \mathrm{d}x \\ & \qquad \\ \bar{\mu}_{N_z} = \frac{1}{VN_z} \sum_{i=1}^{N_z} \int_{0}^{L} \mu(C_i,I_i) h \mathrm{d}x \end{split}$$

- Volume of the system  $V = \int_0^L h(x) dx$ .
- Parameterize h by a vector  $a := [a_1, \cdots, a_{N_a}] \in \mathbb{R}^{N_a}$ , e.g. Truncated Fourier.

Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

- Our goal: Topography  $z_b$ .
- Objective function: Average net growth rate

- Volume of the system  $V = \int_0^L h(x) dx$ .
- Parameterize h by a vector  $a := [a_1, \cdots, a_{N_a}] \in \mathbb{R}^{N_a}$ , e.g. Truncated Fourier.
- The computational chain:

$$h(a) \rightarrow z_i \rightarrow I_i \rightarrow C_i \rightarrow \bar{\mu}_{N_z}$$
.

16 / 38

Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

- Our goal: Topography  $z_b$ .
- Objective function: Average net growth rate

$$\begin{split} \bar{\mu}_{\infty} := \frac{1}{V} \int_{0}^{L} \int_{z_b(x)}^{\eta(x)} \mu \big( C(x,z), I(x,z) \big) \mathrm{d}z \mathrm{d}x \\ & \qquad \\ \bar{\mu}_{N_z} = \frac{1}{VN_z} \sum_{i=1}^{N_z} \int_{0}^{L} \mu(C_i,I_i) h \mathrm{d}x \end{split}$$

- Volume of the system  $V = \int_0^L h(x) dx$ .
- Parameterize h by a vector  $a := [a_1, \cdots, a_{N_a}] \in \mathbb{R}^{N_a}$ , e.g. Truncated Fourier.
- The computational chain:

$$h(a) \rightarrow z_i \rightarrow I_i \rightarrow C_i \rightarrow \bar{\mu}_{N_z}.$$

• Adjoint method  $\rightarrow \nabla \bar{\mu}_{N_z}(a)$ .

### **Optimal Topography**

- Number of parameters:  $N_a = 5$ .
- Number of trajectories:  $N_z = 40$ .
- Initial guess: flat topography.

## Permanent regime

#### Assumption

Photoinhibition state C is periodic meaning that  $C_i(L) = C_i(0)$ ,  $i = [1, \dots, N_z]$ .

## Permanent regime

#### Assumption

Photoinhibition state C is periodic meaning that  $C_i(L) = C_i(0)$ ,  $i = [1, \dots, N_z]$ .

#### Theorem (Flat topography)

Assume the volume of the system V is constant. Then  $\nabla \bar{\mu}_{N_z}(0) = 0$ .

Liu-Di LU

# Optimal topography (C periodic)

- Number of parameters:  $N_a = 5$ .
- Number of trajectories:  $N_z = 40$ .
- Initial guess: random topography.

### Summary on the topography

• In the case *C* non periodic, one can find no flat optimal topographies, however the increase is limited.

#### Summary on the topography

- In the case *C* non periodic, one can find no flat optimal topographies, however the increase is limited.
- In the case *C* periodic, the flat topography is not only a critical point but also the optimal topography.

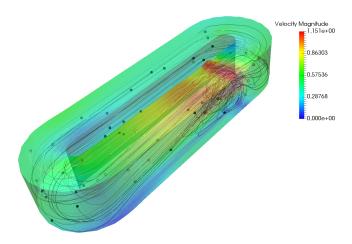
### Summary on the topography

- In the case *C* non periodic, one can find no flat optimal topographies, however the increase is limited.
- In the case *C* periodic, the flat topography is not only a critical point but also the optimal topography.
- What can be further optimized?

#### Overview

- Introduction
- 2 Depth and Biomass Concentration
- Topography
- 4 Mixing
- 5 Depth, Biomass Concentration, Topography and Mixing
- 6 Conclusion and Perspectives

Simulation of the trajectories with the code FreshKiss3D (*Demory et al.* 2018).



#### Assumption (Ideal rearrangement)

At each new lap, the algae at depth  $z_i$  are entirely transferred into the position  $z_i$  when passing through the mixing device.

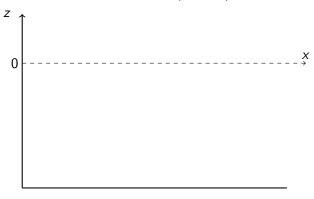
Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

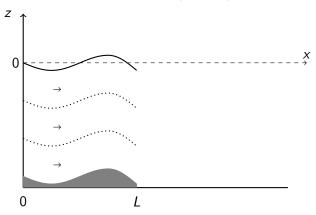
#### Assumption (Ideal rearrangement)

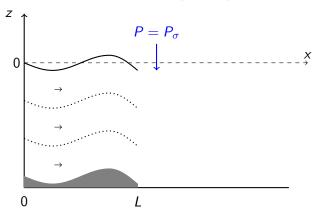
At each new lap, the algae at depth  $z_i$  are entirely transferred into the position  $z_i$  when passing through the mixing device.

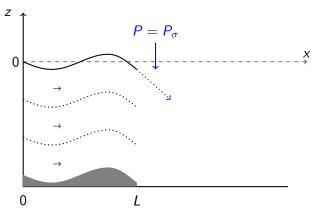
#### **Notations**

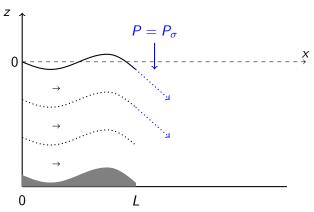
We denote by  $\mathcal{P}$  the set of **permutation matrices** of size  $N_z \times N_z$  and by  $\mathfrak{S}_{N_z}$  the associated set of permutations of  $N_z$  elements.

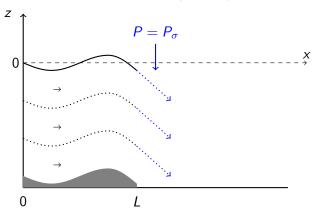


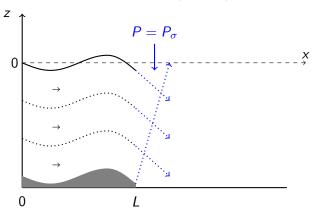


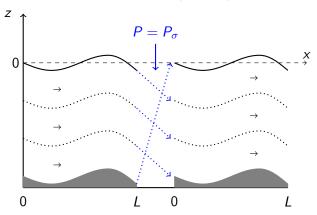




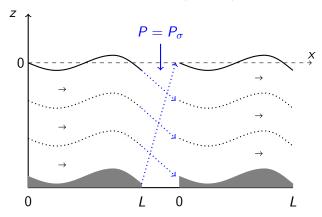






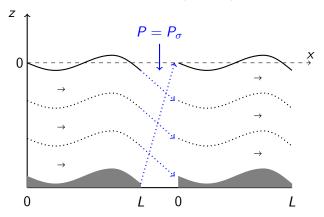


• Illustration with the permutation  $\sigma = (1 \ 2 \ 3 \ 4)$ .

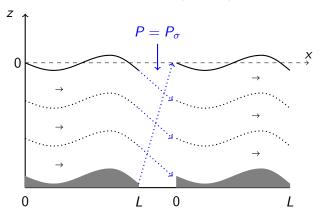


• Choice of Period?

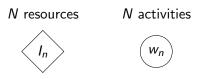
• Illustration with the permutation  $\sigma = (1 \ 2 \ 3 \ 4)$ .

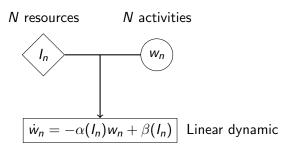


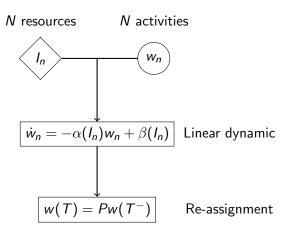
• Choice of Period? Order of  $\sigma$ .

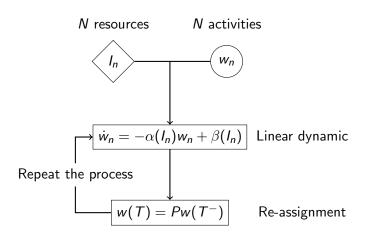


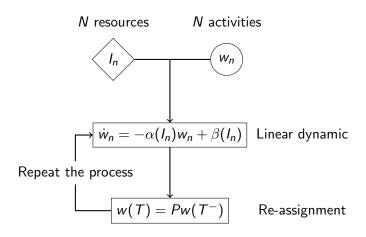
- Choice of Period? Order of  $\sigma$ .
- Re-distribution of light.











#### Theorem (One period is enough)

If w is KT-periodic (i.e.,  $w(T_K) = w(T_0)$ ), then w is T-periodic.

Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

# Original problem

#### Optimization problem

$$\max_{P \in \mathcal{P}} J(P) := \max_{P \in \mathcal{P}} \langle u, (\mathcal{I}_N - PD)^{-1} P v \rangle, \tag{3}$$

Two vectors u, v and a diagonal matrix D all depend on  $(I_n)_{n=1}^N$ .

# Original problem

#### Optimization problem

$$\max_{P \in \mathcal{P}} J(P) := \max_{P \in \mathcal{P}} \langle u, (\mathcal{I}_N - PD)^{-1} P v \rangle, \tag{3}$$

Two vectors u, v and a diagonal matrix D all depend on  $(I_n)_{n=1}^N$ .

#### Remark

Since  $\#\mathfrak{S} = N!$ , this problem cannot be tackled in realistic cases where large values of N must be considered, e.g., to keep a good numerical accuracy.

# Original problem

#### Optimization problem

$$\max_{P \in \mathcal{P}} J(P) := \max_{P \in \mathcal{P}} \langle u, (\mathcal{I}_N - PD)^{-1} P v \rangle, \tag{3}$$

Two vectors u, v and a diagonal matrix D all depend on  $(I_n)_{n=1}^N$ .

#### Remark

Since  $\#\mathfrak{S} = N!$ , this problem cannot be tackled in realistic cases where large values of N must be considered, e.g., to keep a good numerical accuracy.

Expand the functional (3) as follows

$$\underbrace{\langle u, (\mathcal{I}_N - PD)^{-1} Pv \rangle}_{J(P)} = \sum_{\ell=0}^{+\infty} \langle u, (PD)^{\ell} Pv \rangle = \underbrace{\langle u, Pv \rangle}_{J^{\text{approx}}(P)} + \sum_{\ell=1}^{+\infty} \langle u, (PD)^{\ell} Pv \rangle,$$

Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

### Simplified problem

$$\max_{P \in \mathcal{P}} J^{\text{approx}}(P) := \max_{P \in \mathcal{P}} \langle u, Pv \rangle. \tag{4}$$

#### Simplified problem

$$\max_{P \in \mathcal{P}} J^{\mathsf{approx}}(P) := \max_{P \in \mathcal{P}} \langle u, Pv \rangle. \tag{4}$$

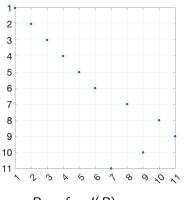
25/38

#### Lemma (Optimal matrix)

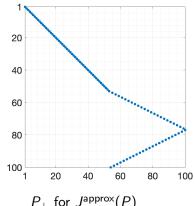
- P<sub>+</sub>: associates the largest coefficient of u with the largest coefficient
  of v, the second largest coefficient with the second largest, and so on.
- P\_: associates the largest coefficient of u with the smallest coefficient of v, the second largest coefficient with the second smallest, and so on.

## **Optimal Matrix**

Test for  $(I_s, q, T) = (2000, 5\%, 1000)$ .



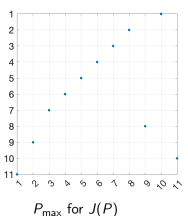
 $P_{\text{max}}$  for J(P)

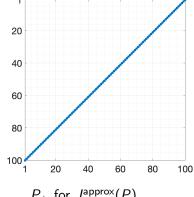


 $P_+$  for  $J^{approx}(P)$ 

## **Optimal Matrix**

Test for  $(I_s, q, T) = (800, 0.5\%, 1)$ .





# Quality of the approximation

## Theorem (Coincidence Criterion: $P_{\text{max}} = P_{+}$ ?)

Assume that u and v have positive entries and define

$$\phi(m) := \frac{1}{s_{\lceil \frac{m}{2} \rceil}} \Big( \sum_{\ell=1}^{+\infty} d_{\max}^{\ell} F_{(\ell+1)m}^{+} - d_{\min}^{\ell} F_{(\ell+1)m}^{-} \Big), \tag{5}$$

where  $m := \# \{ n = 1, ..., N \mid \sigma(n) \neq \sigma_{+}(n) \}, d_{\max} := \max_{n=1,...,N} (d_n)$ and  $d_{\min} := \min_{n=1,\dots,N} (d_n)$ . Assume that:

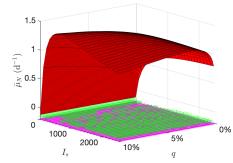
$$\max_{m \ge 2} \phi(m) \le 1. \tag{6}$$

Then  $P_{\text{max}} = P_{+}$ .

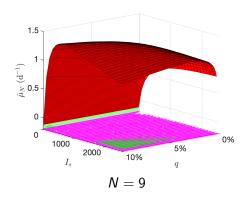
Liu-Di LU Ph.D Defense

# Approximation and criterion

$$T = 1000.$$

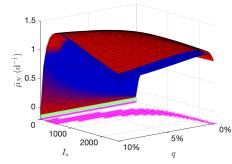


- N = 5
- $\bar{\mu}_N(P_{\text{max}})$  and  $\bar{\mu}_N(P_+)$ .
- $\bullet$   $P_{\text{max}} = P_{+}$ .
- Coincidence Criterion satisfied.

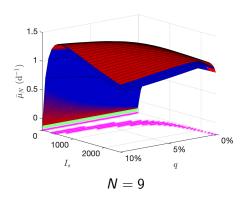


# Approximation and criterion

$$T=1$$
.



- N = 5
- $\bar{\mu}_N(P_{\text{max}})$  and  $\bar{\mu}_N(P_+)$ .
- $\bullet$   $P_{\text{max}} = P_{+}$ .
- Coincidence Criterion satisfied



## Overview

- Introduction
- 2 Depth and Biomass Concentration
- Topography
- 4 Mixing
- 5 Depth, Biomass Concentration, Topography and Mixing
- 6 Conclusion and Perspectives

Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

## Test with a permutation

- Test permutation:  $\sigma = (1 N_z)(2 N_z 1) \dots$
- Initial guess: flat topography.

### Variable volume

• Volume related parameter  $a_0$  as the average depth of the raceway system:

$$a_0 := \bar{h} = \frac{1}{L} \int_0^L h(x) dx = \frac{V}{L}.$$
 (7)

New parameter  $\tilde{a} = [a_0, a_1, \dots, a_{N_a}] \in \mathbb{R}^{N_a+1}$ .

#### Variable volume

• Volume related parameter  $a_0$  as the average depth of the raceway system:

$$a_0 := \bar{h} = \frac{1}{L} \int_0^L h(x) dx = \frac{V}{L}.$$
 (7)

New parameter  $\tilde{a} = [a_0, a_1, \dots, a_{N_a}] \in \mathbb{R}^{N_a+1}$ .

• Relation between X and V:  $Y_{opt}$ .

#### Variable volume

 Volume related parameter a<sub>0</sub> as the average depth of the raceway system:

$$a_0 := \bar{h} = \frac{1}{L} \int_0^L h(x) dx = \frac{V}{L}.$$
 (7)

New parameter  $\tilde{a} = [a_0, a_1, \dots, a_{N_a}] \in \mathbb{R}^{N_a+1}$ .

- Relation between X and V:  $Y_{opt}$ .
- Optimization Problem:

$$\Pi_{N_z}(\tilde{a}) := \bar{\mu}_{N_z}(\tilde{a})Xh(\tilde{a}) = \frac{Y_{\text{opt}} - \alpha_1 a_0}{VN_z \alpha_0} \sum_{i=1}^{N_z} \int_0^L \mu(C_i, I_i(\tilde{a}))h(\tilde{a}) dx.$$

# Optimal Topography (Variable volume)

- Initial average depth:  $a_0 = 0.4$ m.
- Initial guess: flat topography.

# Optimal Topography (Variable volume)

- Number of trajectories:  $N_z = 7$ .
- Initial average depth:  $a_0 = 0.4$ m.
- Initial guess: flat topography.

$$P_{\text{max}}^{100} = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

# Optimal Topography (Variable volume)

- Number of trajectories:  $N_z = 7$ .
- Initial average depth:  $a_0 = 0.4$ m.
- Initial guess: flat topography.

## Overview

- Introduction
- 2 Depth and Biomass Concentration
- Topography
- 4 Mixing
- 5 Depth, Biomass Concentration, Topography and Mixing
- 6 Conclusion and Perspectives

Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

#### Conclusion

#### Depth / Biomass concentration:

- Optical depth productivity.
- Optimal condition to maximize the productivity.
- Nonlinear controller to stabilize the biomass concentration.

#### Topography:

- Flat topography is optimal in periodic case.
- Non flat topography with limited increase.

#### Mixing:

- Periodic dynamic resource allocation problem.
- One period is enough.
- Approximation and criterion.

#### Depth / Biomass concentration:

- Optical depth productivity.
- Optimal condition to maximize the productivity.
- Nonlinear controller to stabilize the biomass concentration.

#### Topography:

- Flat topography is optimal in periodic case.
- Non flat topography with limited increase.

#### Mixing:

- Periodic dynamic resource allocation problem.
- One period is enough.
- Approximation and criterion.

	Topography	Mixing	Depth / Biomass concentration
Gain	pprox 1 %	≈ 30 %	≈ 100 %

#### Contribution

#### Submitted paper:

- O. Bernard, L.-D. Lu, J. Sainte-Marie and J. Salomon, Shape optimization of a microalgal raceway to enhance productivity. Submitted paper, November 2020.
- O. Bernard, L.-D. Lu and J. Salomon, Optimization of mixing strategy in microalgal raceway ponds. Submitted paper, March 2021.
- O. Bernard and L.-D. Lu, Optimal optical conditions for Microalgal production in photobioreactors. Submitted paper, August 2021.

#### **Conference proceeding:**

- O. Bernard, L.-D. Lu, J. Sainte-Marie and J. Salomon, Controlling the bottom topography of a microalgal pond to optimize productivity. 2021 American Control Conference (ACC), pages 634–639, 2021.
- O. Bernard, L.-D. Lu and J. Salomon, Optimizing microalgal productivity in raceway ponds through a controlled mixing device. 2021 American Control Conference (ACC), pages 640–645, 2021.
- O. Bernard, L.-D. Lu and J. Salomon, Mixing strategies combined with shape design to enhance productivity of a raceway pond. 16th IFAC Symposium on Advanced Control of Chemical Processes ADCHEM 2021, pages 281-286, 2021.

Liu-Di LU Ph.D Defense Wednesday, September 29, 2021

#### Future work

New approach where topography, mixing, depth and biomass concentration have been combined.

To be further investigated:

- Improve the criterion.
- Provide an approximation for small time period.
- Theoretical proof of the optimal flat topography.
- Test influence of the topography with other models.

#### Future work

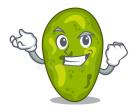
Further step that can lead to higher gains:

• Consider the turbulence regime (much more complex...).

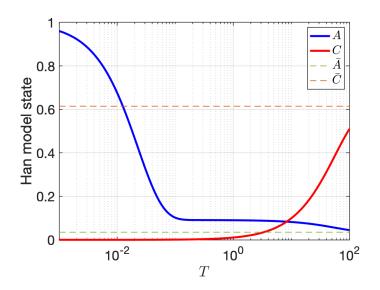
But for this:

- Include the faster time scales of the Han model.
- A more refined model of the mixing device (and its implication on hydrodynamics) must be developed.
- Higher energetic cost for maintaining a turbulent regime must be taken into account.

# Thanks for your attention

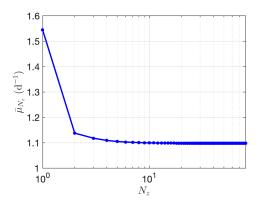


# Fast/slow illustration



#### Effect on vertical discretization number

We fix  $N_a=5$  and take 100 random vector a. For  $N_z$  varying from 1 to 80, we compute the average value of  $\bar{\mu}_{N_z}$  for each  $N_z$ .



## Objective function

Define the average benefit after K operations

$$\frac{1}{K}\sum_{k=0}^{K-1}\langle u,\frac{1}{T}\int_{T_k}^{T_{k+1}}x(t)\mathrm{d}t\rangle.$$

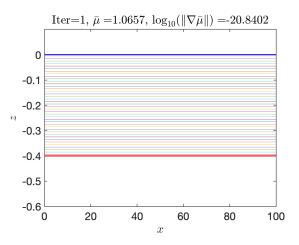
## Theorem (One periodic)

If x is KT-periodic (i.e.,  $x(T_K) = x(T_0)$ ), then x is T-periodic.

$$\frac{1}{K}\sum_{k=0}^{K-1}\langle u,\frac{1}{T}\int_{T_k}^{T_{k+1}}x(t)dt\rangle=\langle u,\frac{1}{T}\int_{T_0}^{T_1}x(t)dt\rangle.$$

## Test with a permutation

We keep  $N_a = 5$ ,  $N_z = 40$  and choose  $\sigma = Id$ 



## One periodic

We keep  $N_a=5$ ,  $N_z=40$  and choose  $\sigma=(1\ N_z)(2\ N_z-1)\dots$ 

